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1609

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INDICATION FORM**

Application Number	10/526,175
371(c) Date	July 29, 2005
First Named Inventor	Gary R. Ostroff
Confirmation Number	6462
Group Art Unit	1609
Examiner Name	Pagonakis, Anna
Attorney Docket Number	2732.1047-010

Title **CANCER THERAPY USING WHOLE GLUCAN PARTICLES AND ANTIBODIES**

I hereby appoint

☒ Practitioners associated with Customer No. 021005

☐ Practitioner(s) named below:

as my/our attorneys/agents to prosecute the application identified above, including any continuation or divisional applications thereof, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

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I am the:

☐ Applicant/Inventor.

☐ Authorized representative of the Assignee, [], of the entire interest. See 37 CFR § 3.71. A Statement under 37 CFR § 3.73(b) is enclosed.

☒ Authorized representative of the Assignee, Biopolymer Engineering, together with University of Louisville Research Foundation, Inc., of the entire interest. A Statement under 37 CFR § 3.73(b) is enclosed.

SIGNATURE of Applicant or Assignee of Record

Signature

Name & Title

Steven J. Karel, Chief Technology and Operations Officer

Date

11/9/07

STATEMENT UNDER 37 CFR § 3.73(b)Applicant/Patentee: Gary R. Ostroff and Gordon D. Ross (deceased)Application No./Patent No.: 10/526,175 371(c) Date: July 29, 2005For: CANCER THERAPY USING WHOLE GLUCAN PARTICLES AND ANTIBODIESBiopolymer Engineering

(Name of Assignee)

, a corporation

Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is

- A. ☐ the assignee of the entire right, title and interest in the patent application identified above; or
- B. ☒ an assignee together with University of Louisville Research Foundation, Inc. of the entire right, title and interest in the patent application identified above.

The right, title and interest of the above-named assignee in the patent application identified above is established by virtue of:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 016586, Frames 0839-0841, or a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From: [] To: []
The document was recorded in the United States Patent and Trademark Office at Reel [], Frame [], or a copy thereof is attached.
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The document was recorded in the United States Patent and Trademark Office at Reel [], Frame [], or a copy thereof is attached.
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The document was recorded in the United States Patent and Trademark Office at Reel [], Frame [], or a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Name: Steven J. KarelTitle: Chief Technology and Operations OfficerDate: 11/9/07